

**The Department of Science and Technology-Executive Committee (DOST-EXECOM)
Resolution on the Non-Release of Subsequent DOST-GIA (Grants-In Aid) Fund to the Smallest
Unit of Agency Instead of the Project Leader**

RESOLUTION NO. 2018-1

WHEREAS, Section VIII.3.e (Non-Submission of Requirements) of the DOST Administrative Order No. 009 Series of 2017 otherwise known as the "Guidelines for the Grants-In-Aid Program of Department of Science and Technology and Its Agencies" states that "For failure to submit the required financial, technical and other reports within the prescribed deadlines, demand letter shall be sent to the Project Leader and Head of Agency. Upon recommendation of the Monitoring Agency, the Project Leaders may be prevented from receiving further grants or any kind of support from any agency within the DOST System until he/she is cleared from all obligations pertinent to previous GIA grant received."

WHEREAS, in the audit observation memorandum (AOM) No. 18-005 (2017) issued by the Commission on Audit (COA) on the "Inadequate Monitoring and Reconciliation on the Grant, Utilization, and Liquidation of Fund Transfers to Implementing Agencies (IAs) Resulted in the Accumulation of the Balance Due from National Government Agencies (NGAs)", it was recommended among others that the Management should:

1. Send demand letters for the immediate liquidation from the Implementing Agencies (IAs) of previous fund transfers especially those aged over three to over ten years that were also recipients of new research grants/funds and/or compel the monitoring agency to send its staff to personally follow-up the immediate submission of financial reports by the IAs;
2. Strictly enforce the policy that no subsequent releases shall be granted unless the previous funds transferred are properly accounted for to avoid accumulation of unliquidated balances.

WHEREAS, the DOST-EXECOM in its meeting on 21 June 2018 and DOST-EXECOM Policy Meeting on 27 and 28 September 2018, respectively, agreed that the non-release of subsequent DOST-GIA grant due to unliquidated balances will not be on an institution basis but on the smallest unit of the institution i.e. department, etc.

WHEREAS, the GIA-Technical Working Group (GIA-TWG) which assists the DOST Inter-Council Committee (ICC) Policy Group to review R&D plans and programs that cut across the concerns of various Councils to ensure that these are integrated and complementary to ongoing and completed programs, is amenable to the said resolution in its meeting on 11 July 2018 to ensure compliance of IAs to reportorial documents and facilitate clearance of previous fund releases.

NOW, THEREFORE, We the Members of the **DOST-EXECOM**, **RESOLVE** as it is hereby **RESOLVED**, to adopt the resolution on the "Non-Release of Subsequent DOST-GIA (Grants-In Aid) Fund to the Smallest Unit of Agency Instead of the Project Leader".

DOST-Executive Committee:

TOMAS A. BRIÑAS
Regional Director, DOST-V/Member

CELIA B. ELUMBA
Director, PTRI/Member

JOSETTE T. BIYO
Director, SEI/Member

MARIETA BAÑEZ-SUMAGAYSAY
Executive Director, NRCP/Member

REYNALDO V. EBORA
Executive Director, PCAARRD/
Member

JAIME C. MONTOYA
Executive Director, PCHRD/
Member


RAUL C. SABULARSE
Deputy Executive Director, PCIEERD/
Member

TEODORO M. GATCHALIAN
Assistant Secretary/Member

LEAH J. BUENDIA
Assistant Secretary/Member

BRENDA L. NAZARETH-MANZANO
Undersecretary/Member

CAROL M. YOROBE
Undersecretary/Member

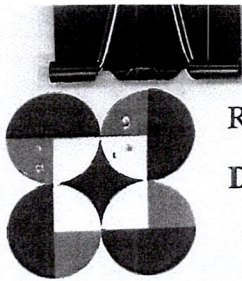

ROWENA CRISTINA L. GUEVARA
Undersecretary/Member

Approved:

FORTUNATO T. DE LA PEÑA
Secretary, DOST and Chair, DOST-EXECOM

Approved on _____

This page forms part of Resolution No. 2018-1




Republic of the Philippines

DEPARTMENT OF SCIENCE AND TECHNOLOGY



MEMORANDUM

TO : All DOST-EXECOM Members

FROM : 
FORTUNATO T. DE LA PEÑA
Secretary

SUBJECT : Resolution No. 2018-1: "Non-Release of Subsequent DOST-GIA Fund to the Smallest Unit of Agency Instead of the Project Leader"

DATE : 19 December 2018

Following the agreements reached during the DOST-EXECOM meeting on 21 June 2018 and DOST-EXECOM Policy Meeting on 27 and 28 September 2018, transmitted herewith is the proposed Resolution No. 2018-1 on the "Non-Release of Subsequent DOST-GIA Fund to the Smallest Unit of Agency Instead of the Project Leader", for your consideration.

The resolution was drafted in response to the audit observation memorandum (AOM) issued by COA on the "Inadequate Monitoring and Reconciliation on the Grant, Utilization and Liquidation of Fund Transfers to Implementing Agencies (IAs) that Resulted in the Accumulation of the Balance of due from National Government Agencies (NGAs)". The same has been reviewed by the DOST Legal Office and was found in legal order.

Thank you.